

File Number: 84-5691
For the reporting period ended December 31, 2003



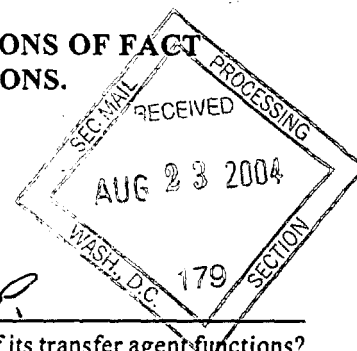
OMB APPROVAL	
OMB Number:	3235-0337
Expires:	September 30, 2006
Estimated average burden hours per full response	6.00
Estimated average burden hours per intermediate response	1.50
Estimated average burden hours per minimum response50

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

FORM TA-2

**FORM FOR REPORTING ACTIVITIES OF TRANSFER AGENTS
REGISTERED PURSUANT TO SECTION 17A OF THE SECURITIES EXCHANGE ACT OF 1934**

**ATTENTION: INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACT
CONSTITUTE FEDERAL CRIMINAL VIOLATIONS.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)**



1. Full name of Registrant as stated in Question 3 of Form TA-1:
(Do not use Form TA-2 to change name or address.)

NATIONAL INVESTOR SERVICES CORP.

2. a. During the reporting period, has the Registrant engaged a service company to perform any of its transfer agent functions?
(Check appropriate box.)

☐ All ☐ Some ☒ None

- b. If the answer to subsection (a) is all or some, provide the name(s) and transfer agent file number(s) of all service company(ies) engaged:

Name of Transfer Agent(s):	File No. (beginning with 84- or 85-):

PROCESSED
AUG 25 2004
THOMSON
FINANCIAL

- c. During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to perform transfer agent functions?

☐ Yes ☒ No

- d. If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for which the Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, please complete and attach the Supplement to Form TA-2.)

Name of Transfer Agent(s):	File No. (beginning with 84- or 85-):

3. a. Registrant's appropriate regulatory agency (ARA): (Check one box only.)

- ☐ Comptroller of the Currency
☐ Federal Deposit Insurance Corporation
☐ Board of Governors of the Federal Reserve System
☒ Securities and Exchange Commission

b. During the reporting period, has the Registrant amended Form TA-1 within 60 calendar days following the date on which information reported therein became inaccurate, incomplete, or misleading? (Check appropriate box.)

- ☒ Yes, filed amendment(s)
☐ No, failed to file amendment(s)
☐ Not applicable

c. If the answer to subsection (b) is no, provide an explanation:

If the response to any of questions 4-11 below is none or zero, enter "0."

4. Number of items received for transfer during the reporting period: 0

5. a. Total number of individual securityholder accounts, including accounts in the Direct Registration System (DRS), dividend reinvestment plans and/or direct purchase plans as of December 31: 12

b. Number of individual securityholder dividend reinvestment plan and/or direct purchase plan accounts as of December 31: 0

c. Number of individual securityholder DRS accounts as of December 31: 0

d. Approximate percentage of individual securityholder accounts from subsection (a) in the following categories as of December 31:

Corporate Equity Securities	Corporate Debt Securities	Open-End Investment Company Securities	Limited Partnership Securities	Municipal Debt Securities	Other Securities
		100%			

6. Number of securities issues for which Registrant acted in the following capacities, as of December 31:

	Corporate Securities		Open-End Investment Company Securities	Limited Partnership Securities	Municipal Debt Securities	Other Securities
	Equity	Debt				
a. Receives items for transfer and maintains the master securityholder files:						
b. Receives items for transfer but does not maintain the master securityholder files:						
c. Does not receive items for transfer but maintains the master securityholder files:						

7. Scope of certain additional types of activities performed:

- a. Number of issues for which dividend reinvestment plan and/or direct purchase plan services were provided, as of December 31:
- b. Number of issues for which DRS services were provided, as of December 31:
- c. Dividend disbursement and interest paying agent activities conducted during the reporting period:
 - i. number of issues
 - ii. amount (in dollars)

8. a. Number and aggregate market value of securities aged record differences, existing for more than 30 days, as of December 31:

	Prior Transfer Agent(s) (If applicable)	Current Transfer Agent
i. Number of issues		
ii. Market value (in dollars)		

b. Number of quarterly reports regarding buy-ins filed by the Registrant with its ARA (including the SEC) during the reporting period pursuant to Rule 17Ad-11(c)(2):

c. During the reporting period, did the Registrant file all quarterly reports regarding buy-ins with its ARA (including the SEC) required by Rule 17Ad-11(c)(2)?

☐ Yes ☐ No

d. If the answers to subsection (c) is no, provide an explanation for each failure to file:

9. a. During the reporting period, has the Registrant always been in compliance with the turnaround time for routine items as set forth in Rule 17Ad-2?

☐ Yes ☐ No

If the answer to subsection (a) is no, complete subsections (i) through (ii).

i. Provide the number of months during the reporting period in which the Registrant was not in compliance with the turnaround time for routine items according to Rule 17Ad-2.

ii. Provide the number of written notices Registrant filed during the reporting period with the SEC and with its ARA that reported its noncompliance with turnaround time for routine items according to Rule 17Ad-2.

10. Number of open-end investment company securities purchases and redemptions (transactions) excluding dividend, interest and distribution postings, and address changes processed during the reporting period:

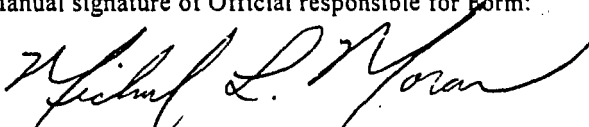
- a. Total number of transactions processed:
- b. Number of transactions processed on a date other than date of receipt of order (as of):

11. a. During the reporting period, provide the date of all database searches conducted for lost securityholder accounts listed on the transfer agent's master securityholder files, the number of lost securityholder accounts for which a database search has been conducted, and the number of lost securityholder accounts for which a different address has been obtained as a result of a database search:

Date of Database Search	Number of Lost Securityholder Accounts Submitted for Database Search	Number of Different Addresses Obtained from Database Search
0	0	0

- b. Number of lost securityholder accounts that have been remitted to states during the reporting period: 0

SIGNATURE: The Registrant submitting this Form, and the person signing the Form, hereby represent that all the information contained in the Form is true, correct, and complete.

Manual signature of Official responsible for Form: 	Title: CHIEF COMPLIANCE OFFICER Telephone number: 212-428-8665
Name of Official responsible for Form: (First name, Middle name, Last name) MICHAEL L. MORAN	Date signed (Month/Day/Year): 3/15/04

**NATIONAL
INVESTOR
SERVICES CORP.**

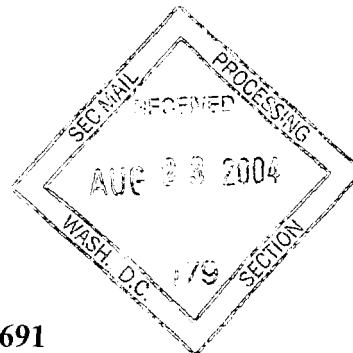


55 Water Street, 32 Fl.
New York, NY 10041

VIA Overnight Mail

August 20, 2004

Securities and Exchange Commission
450 5th Street, N.W.
Office of Filings and Information
Washington DC 20549-0013



Re: National Investor Services Corp., Form TA-2 No. 84-5691

Dear Sir/Madam:

A recent review of EDGAR revealed that National Investor Services Corp.'s Form TA-2, filed on March 15, 2004 was not posted. Perhaps it was misplaced, to ensure that the SEC receives the TA-2 for NISC, I have enclosed three (3) copies of the original filing along with a copy of the UPS Tracking Confirmation showing that the package was received and signed for by the SEC on March 16, 2004 at 7:59AM..

If you have any questions, or require additional information, please contact the undersigned at (212) 428-8665.

Sincerely,

Michael L. Moran
Chief Compliance Officer
NISC

Enc.

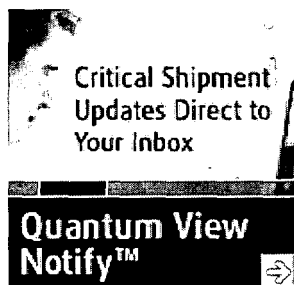
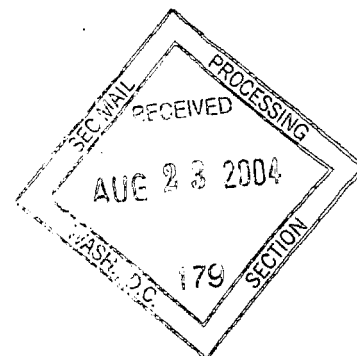
[Home](#) | [About UPS](#) | [Contact UPS](#) | [Welcome Center](#)**Tracking**Log-In User ID: Password: | [Forgot Password](#)

Re:

→ Track by Tracking Number[→ Track by Reference Number](#)[→ Import Tracking Numbers](#)[→ Track by E-mail](#)[→ Get Quantum View Files](#)[→ Request Quantum View Notify](#)[→ Void a Shipment](#)[→ Help](#) **Track by Tracking Number****View Details**

Status: Delivered
Delivered on: Mar 16, 2004 7:59 A.M.
Signed by: STEVENSON
Location: GUARD
Delivered to: WASHINGTON, DC, US
Shipped or Billed on: Mar 15, 2004

Tracking Number: 1Z AT3 727 15 4879 413 8
Service Type: NEXT DAY AIR EARLY AM

**Package Progress:**

Date/Time	Location	Activity
Mar 16, 2004 7:59 A.M.	LANDOVER, DC, US	DELIVERY
Mar 15, 2004 4:53 P.M.	US	BILLING INFORMATION RECEIVED

Tracking results provided by UPS: Aug 20, 2004 11:06 A.M. Eastern Time (USA)

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**NATIONAL
INVESTOR
SERVICES CORP.**



55 Water Street
New York, NY 10041
212-509-0055

VIA Overnight Mail

March 15, 2004

Securities and Exchange Commission
450 5th Street, N.W.
Office of Filings and Information
Washington DC 20549-0013

Re: National Investor Services Corp., Form TA-2 No. 84-5691

Dear Sir/Madam:

Please find enclosed an original and two (2) copies of Form TA-2 filed on behalf of National Investor Services, Corp.

If you have any questions, or require additional information, please contact the undersigned at (212) 428-8665.

Sincerely,

Michael L. Moran
Chief Compliance Officer

Enc.

AT3727 MAR 15, 2004 ACT WT 1.0 HPK 1
SERVICE 1DM BILL WT 1
TRACKING# 1ZAT37271548794138
REF 1:
REF 2:

HANDLING CHARGE \$0.00		
REFERENCE RATE CHARGES:		SERVICE \$43.00
IV \$0.00	COD \$0.00	RS \$0.00
DC \$0.00	HZMT \$0.00	SD \$0.00
AH \$0.00	NTFY \$0.00	SP \$0.00
TOT REF CHG \$43.00	REF+HANDLING \$43.00	

Member New York Stock Exchange, SIPC & Other Principal Exchanges

THE BUTTONWOOD TREE SYMBOLIZES A PARTNERSHIP OF TWENTY-FOUR BROKERS WHO, ON MAY 17, 1792, SIGNED WHAT IS KNOWN TODAY AS THE BUTTONWOOD AGREEMENT - THE HISTORIC PACT TO WHICH THE NEW YORK STOCK EXCHANGE TRACES ITS ORIGIN. NATIONAL INVESTOR SERVICES CORP. HAS ADOPTED THE BUTTONWOOD TREE AS ITS SYMBOL TO CONTINUE THE SPIRIT OF PARTNERSHIP AMONG BROKERS.